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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

U.S. PTO  
10/659734

09/09/03

Attorney Docket No.	SHE0073.00
First Inventor	Antoni Kozlowski
Title	Water-Soluble Polymer Alkanals
Express Mail Label No.	EU227015786US

22141

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.		<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450																											
<p>1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>122</u>] (preferred arrangement set forth below)           <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> </p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>1</u>]</p> <p>5. Oath or Declaration [Total Sheets <u>  </u>]           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)               <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul> </li> </ul> </p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>																													
<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on:               <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> Paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ul> </p>																													
<p><b>ACCOMPANYING APPLICATION PARTS</b></p> <table border="0"> <tr> <td>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</td> <td>37 CFR 3.73(b) Statement</td> <td><input type="checkbox"/> Power of Attorney</td> </tr> <tr> <td>10. <input type="checkbox"/> (when there is an assignee)</td> <td>English Translation Document (if applicable)</td> <td><input type="checkbox"/> Copies of IDS Citations</td> </tr> <tr> <td>11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1499</td> <td>Preliminary Amendment</td> <td></td> </tr> <tr> <td>12. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</td> <td>Certified Copy of Priority Document(s)</td> <td></td> </tr> <tr> <td>13. <input type="checkbox"/> (if foreign priority is claimed)</td> <td>Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</td> <td></td> </tr> <tr> <td>14. <input checked="" type="checkbox"/> Other: .....</td> <td></td> <td></td> </tr> <tr> <td>15. <input type="checkbox"/> .....</td> <td></td> <td></td> </tr> <tr> <td>16. <input type="checkbox"/> .....</td> <td></td> <td></td> </tr> <tr> <td>17. <input type="checkbox"/> .....</td> <td></td> <td></td> </tr> </table>			9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	37 CFR 3.73(b) Statement	<input type="checkbox"/> Power of Attorney	10. <input type="checkbox"/> (when there is an assignee)	English Translation Document (if applicable)	<input type="checkbox"/> Copies of IDS Citations	11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1499	Preliminary Amendment		12. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	Certified Copy of Priority Document(s)		13. <input type="checkbox"/> (if foreign priority is claimed)	Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.		14. <input checked="" type="checkbox"/> Other: .....			15. <input type="checkbox"/> .....			16. <input type="checkbox"/> .....			17. <input type="checkbox"/> .....		
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18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation       Divisional       Continuation-in-part (CIP)      of prior application No.: .....

Prior application information: Examiner \_\_\_\_\_ Art Unit: \_\_\_\_\_  
For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number:	21968	<b>OR</b>	<input type="checkbox"/> Correspondence address below
Name _____			
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Name (Print/Type)	Susan T. Evans	Registration No. (Attorney/Agent)	38,443
Signature	<i>Susan T. Evans</i>		Date 09/09/2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Antoni Kozlowski  
Application No.: Unassigned  
Filed: Herewith  
For: Water-Soluble Polymer Alkanals

Group No.: Unassigned  
Examiner: Unassigned

**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**EXPRESS MAIL CERTIFICATE**

"Express Mail" label number EU227015786US  
Date of Deposit 09/09/2003

I hereby state that the following *attached* paper or fee

New Application Transmittal, Specification, Claims, Abstract, Drawing, Data Sheet

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kathy Honnert

  
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Signature of person mailing paper or fee